The Influence of Birth Cohorts on Future Cognitive Decline

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- 14 Handling Associate Editor: Insa Feinkohl
- 11 12
 - Accepted 17 February 2023 Pre-press 22 March 2023
- 15 Abstract.
- Background: Slowed rates of cognitive decline have been reported in individuals with higher cognitive reserve (CR),
- but interindividual discrepancies remain unexplained. Few studies have reported a birth cohort effect, favoring later-born
 individuals, but these studies remain scarce.
- ¹⁹ **Objective:** We aimed to predict cognitive decline in older adults using birth cohorts and CR.
- 20 Methods: Within the Alzheimer's Disease Neuroimaging Initiative, 1,041 dementia-free participants were assessed on four
- 21 cognitive domains (verbal episodic memory; language and semantic memory; attention; executive functions) at each follow-up
- visit up to 14 years. Four birth cohorts were formed according to the major historical events of the 20th century (1916–1928;
- ²³ 1929–1938; 1939–1945; 1946–1962). CR was operationalized by merging education, complexity of occupation, and verbal
- IQ. We used linear mixed-effect models to evaluate the effects of CR and birth cohorts on rate of performance change over time. Age at baseline, baseline structural brain health (total brain and total white matter hyperintensities volumes), and
- over time. Age at baseline, baseline structural brain health (total brain
 baseline vascular risk factors burden were used as covariates.
- **Results:** CR was only associated with slower decline in verbal episodic memory. However, more recent birth cohorts predicted
- slower annual cognitive decline in all domains, except for executive functions. This effect increased as the birth cohort became
 more recent.
- **Conclusion:** We found that both CR and birth cohorts influence future cognitive decline, which has strong public policy implications.
- 32 Keywords: Aging, birth cohorts, cognitive decline, cognitive reserve, generations, neuropsychology

¹Data used in preparation of this article were obtained from the Alzheimer's Disease Neuroimaging Initiative (ADNI) database (https://adni.loni.usc.edu). As such, the investigators within the ADNI contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this report. A complete listing of

ADNI investigators can be found at: https://adni.loni.usc.edu/wpcontent/uploads/how_to_apply/ADNI_Acknowledgement_List.pdf

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33 INTRODUCTION

Cognitive decline is subtended by multiple factors 34 affecting overall brain health, such as tissue atro-35 phy [1], cerebrovascular lesions (e.g., white matter 36 hyperintensities, WMH) [2], and cardiovascular risk 37 factors such as smoking, late-life type 2 diabetes, 38 midlife hypertension, and obesity [3]. However, these 39 factors alone cannot sufficiently predict whether an 40 individual will experience a trajectory of normal or 41 pathological (e.g., Alzheimer's disease, AD) cog-42 nitive aging. To explain this disparity, cognitive 43 reserve (CR) has been proposed as a mechanism 44 by which an individual's cognitive processes can 45 cope with neurological changes induced by normal 46 or pathological aging, allowing them to compensate 47 longer for cognitive impairment [4, 5]. Reduced risk 48 of dementia has been reported among individuals 49 with higher education [6, 7], greater occupational 50 complexity in adulthood [8, 9], or higher verbal intel-51 lectual quotient (IQ) [10], all of which are used as 52 proxies of CR. Although there is evidence for ben-53 eficial effects of a higher CR on delaying the onset 54 of dementia, previous findings remain inconsistent 55 regarding its association with trajectories of cognitive 56 decline [11]. 57

There are possible explanations for these discrep-58 ancies. First, results across studies may depend on 59 heterogeneity in the operationalization of CR [12, 60 13] or in the tests used to assess cognitive decline in 61 various domains. Second, results may vary accord-62 ing to the birth cohort into which individuals were 63 born [14]. Birth cohorts encompass a societal context 64 that varies with major historical events (e.g., Great 65 Depression, World War II) and in which individuals 66 do not experience the same opportunities in at least 67 their formative years. Several studies have reported 68 differences between birth cohorts in cognitive perfor-69 mances and rates of age-associated cognitive decline, 70 most often favoring individuals born in more recent 71 cohorts [15-20]. In a previous study, we showed 72 that when comparing birth cohorts cross-sectionally, 73 there was an association between improved CR prox-74 ies in more recent birth cohorts and better cognitive 75 performance [21]. These later-born individuals may 76 have benefited from the societal changes in which 77 CR proxies are embedded [15, 22] and thus may 78 have had lasting effects on their brain development 79 [23] and cognitive function [22]. However, differ-80 ences between studies remain as birth cohorts are 81 not operationalized in similar ways [21]. Although 82 some studies included only age as a covariate [24], 83

most controlled for additional individual characteristics such as sex [15–17, 19, 20, 25], education [15–20, 25], occupational complexity [15], or the presence of chronic diseases [16, 17, 20]. Nevertheless, studies of birth cohorts in cognitive decline remain scarce. To our knowledge, no studies have investigated the influence of birth cohorts and CR longitudinally while controlling for factors such as brain health and cardiovascular risk.

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Study aims and hypotheses

Our aim was to assess the association between baseline CR, birth cohorts (year of birth), and cognitive decline in a well characterized North American cohort, the Alzheimer's Disease Neuroimaging Initiative (ADNI), controlling for demographics (age at baseline, sex), brain health, and cardiovascular risk. We operationalized birth cohorts as being defined by major historical events in the first half of the 20th century. We hypothesized that individuals with higher CR or from more recent birth cohorts (>1939) would show slower annual cognitive decline in all cognitive domains compared to those with lower CR or born earlier. Because of sociocultural conditions in North America in the early 20th century and correspondingly expected lower CR, we hypothesized that women would experience greater decline than men in all birth cohorts. We further posited that healthier brain structure (higher brain volume and lower WMH burden), and less vascular risk factors at baseline would predict a slower cognitive decline in all domains.

METHODS

Ethics approval

Approval from the local ethics board (CIUSSS-CN #2021-2054) was obtained to perform this study. Participants' written informed consent were obtained as part of the ADNI study.

Participants and birth cohorts

We obtained data from the ADNI database in May 2021. ADNI was launched in 2003 as a public-private partnership and led by Principal Investigator Michael W. Weiner, MD. Its primary goal is to test whether serial magnetic resonance imaging (MRI), positron emission tomography, other biological markers, and clinical and neuropsychological

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assessment can be combined to measure the progres-120 sion of mild cognitive impairment (MCI) and early 130 AD [26]. Participants were recruited through 67 sites 131 in Canada and the United States, ranged in age from 132 55 to 90 years, were fluent in English or Spanish, and 133 had completed at least six years of education. Par-134 ticipants underwent a series of initial tests that were 135 repeated at intervals over subsequent years, includ-136 ing a clinical evaluation, neuropsychological tests, 137 and MRI (http://adni.loni.usc.edu/) [26]. We included 138 only participants without dementia (i.e., with normal 139 cognition [NC] or MCI), with available baseline MRI 140 data and at least two follow-up cognitive evaluations. 141 Demographics were obtained at baseline, including 142 sex (women or men), ethnicity, year of birth, educa-143 tion, and main occupational attainment. 144

Following our previous study design in Turcotte 145 et al. [21], four birth cohorts were formed based on 146 major historical events that happened in the United 147 States and Canada in the 20th century [14], namely: 148 World War I, Spanish influenza pandemic and pre-149 Great Depression (<1928); Great Depression (1929 150 to 1938); World War II (1939 to 1945); and post-151 World War II and Baby boom (>1946) [21]. 152

153 Primary measures

Neuropsychological assessments and cognitivedecline

At baseline and each follow-up visit, cognitive 156 performance was assessed using 10 neuropsychologi-157 cal tests (paper-and-pen), representing four cognitive 158 domains (Table 1). As in our previous study [21], 159 we created a composite score by averaging the test 160 z-scores (based on the mean and standard deviation 161 of the present study sample) for each the following 162 cognitive domains: language and semantic memory, 163 attention capacities, and executive functions. Com-164 pletion times of the Trail Making Test (TMT) were 165 reverse coded for interpretation (i.e., higher score can 166 be interpreted as better performance for all tests), 167 and TMT B/A time ratio was inversed and reflected 168 before calculating z-scores due to a severe negatively 169 skewed distribution [21]. A composite z-score for 170 verbal episodic memory was created using the Crane 171 et al. [27] composite z-score, which was recalculated 172 according for the mean and standard deviation of the 173 study sample [21]. The latter [27] was used because 174 it accounts for the difference in difficulty between 175 the two versions administered in ADNI of the Rey 176 Auditory Verbal Learning Test [27]. 177

Cognitive reserve assessment

A baseline CR score was created by summing scores (0, 1 or 2) of three validated CR proxies, each with equal weight (range 0 to 6, with higher scores indicating greater CR) [21]. First, years of education were categorized based on the American education system, as previously done [21, 28, 29], where ≤ 12 years (high school and lower) were coded as 0, between 13-16 years (college and undergraduate programs) as 1, and ≥ 17 years (graduate programs and higher) as 2 [21]. Second, verbal IQ was estimated by transforming the number of errors made in the American version of the National Adult Reading Test [30] using the formula of Grober and Sliwinski [31], as previously done [21, 32]. It was categorized based on standard IQ mean and standard deviation (M = 100, SD=15) [33], where estimates ≤ 115 (average: -1 to 1 SD) were coded as 0, between 116-123 (above average: 1 to 1.5 SD) as 1 and >124 (high above average: >1.5 SD) as 2 [21]. Finally, the complexity of main occupational attainment during adulthood was scored by three independent raters (VT and two others; averaged kappa = 0.716: substantial agreement [34]) using the 10 groups of the International Standard Classification of Occupations 2008 (ISCO-08; Supplementary Table 1) [35], as previously published [21, 36]. The major groups were classified based on the skill levels of the ISCO-08 (from 1 to 4, with higher score indicating a greater skill level), where groups 1 and 2 (skill level 4) were coded as 2, group 3 (skill level 3) as 1 and groups 4 to 10 (skill levels 1 and 2) as 0 [21].

Covariate measures

Brain health measures

As we previously did [21], brain health was 212 assessed via imaging proxies, that is total brain and 213 total WMH volumes at baseline. Structural brain 214 measurements were obtained using a standardized 3D 215 volumetric T1-weighted acquisition on either 1.5 or 216 3 Tesla MRI (General Electric Healthcare, Philips 217 Medical Systems or Siemens Medical Solutions) 218 [37]. Following the procedure defined in Potvin, et al. 219 [38], the "recon-all -all" command of FreeSurfer 6.0 220 [39] was used on the raw images with the fully auto-221 mated directive parameters (no manual intervention 222 or expert flag options) on the CBRAIN platform [40] 223 in order to derive the total brain volumes from these 224 T1-weighted images. Since ADNI did not include 225 FLAIR until 2010, we used, as in our previous 226 study [21], a validated segmentation technique to 227

Cognitive domain	Neuropsychological test and score used
Verbal episodic memory	Mini-Mental State Examination: Three words delayed recall
	• Logical Memory I and II of the Wechsler Memory Scale: Number of
	elements correctly recalled for story A, immediate and delayed conditions
	• Rey Auditory Verbal Learning Test: 15 words recalled in five learning
	trials, after interference list and after delay
	• Word recall, Delayed free recall, and Word recognition subtests of the
	ADAS-Cog
Language and Semantic	Naming Objects and Fingers subtest of the ADAS-Cog: Number of
memory	objects (total = 12) and fingers (total = 5) named
	 Semantic Verbal Fluency Test: Number of animals named in one minute
Attention capacities	• Trail Making Test: Part A time (150 secs maximum)
	 Number Cancellation subtest of the ADAS-Cog: Number of target hits
	(total = 49)
Executive functions*	• Trail Making Test: Part B time / Part A time ratio (300 secs maximum for
	Part B)

 Table 1

 Neuropsychological tests and the scores used for cognitive domains

*B/A time ratio reduces the influence of speed and isolate the additional time associated to the task switching cost of Part B [51]. ADAS-Cog, Alzheimer's Disease Assessment Scale-Cognitive.

automatically segment total WMH volumes from T1-228 weighted images using a set of spatial and intensity 229 features, and a Random Forest classifier [41]. WMH 230 are defined as areas of higher signal than the sur-231 rounding normal-appearing white matter, reflecting 232 demyelination and axonal loss. WMH volumes from 233 FLAIR and T1-weighted have high correlations in 234 all brain regions (r = 0.96) [41]. Raw WMH volumes 235 were log-transformed to obtain normal distribution. 236

237 Vascular risk factor burden

We calculated a vascular index score at baseline 238 by summing the scores of four vascular risk factors 239 (each coded as 1 = present or 0 = absent), as previ-240 ously published [21, 42, 43]. The vascular index was 241 multiplied by -1, ranging from 0 to -4, with lower 242 negative scores indicating a higher burden of vascular 243 risk factors [21]. We included type 2 diabetes (fast-244 ing plasma glucose > 126 mg/dL [44]), hypertension 245 (high systolic >130 mm Hg or diastolic >80 mm Hg 246 [45]), obesity (body mass index $\geq 30 \text{ kg/m}^2$ [46]) and 247 lifetime smoking history (past or current smoker). 248 Missing data in vascular risk factors were coded as 0 249 (absent) [21]. 250

251 Statistical analyses

All predicted variables were transformed into zscores. Birth cohorts' differences were examined with one-way ANOVAs for continuous variables and with Kruskal-Wallis for categorical variables. We used linear mixed-effect models (LMMs) fit by maximum likelihood to evaluate the effects of CR and birth

cohorts on rate of performance change in four cognitive domains over time. We included time (years of follow-up, as continuous variable), age at baseline (years), birth cohorts, baseline CR score, baseline total brain volume (with positive z-score meaning higher brain volume), baseline total WMH volume (with negative z-scores meaning lower WMH burden), and baseline vascular index, as well as their interactions with time (except for time), as fixed effects. As previously published [21], dummy coding was applied to birth cohorts with the earliest birth cohort (1916 to 1928) as the reference. A random intercept for participant ID and a random slope for time were included to account for withinsubject correlations and for subject-specific slopes over time. Inspection of the residuals and randomeffect coefficients was done to ensure that the LMM assumptions were met. The random intercept and random slope were correlated in the models. All analyses and figures were performed in RStudio (v1.3.1093; significance set at p < 0.05) [47] with the R packages lme4, ggplot2, and ggpubr. The R syntax of the LMMs is displayed in the Supplementary Material.

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RESULTS

Participants characteristics

Baseline participant characteristics are shown in
Table 2. The study sample comprised 1,041 older
adults (474 women; 93.0% white; n = 445 with NC;
n = 596 with MCI) with the 1929–1938 cohort having
the largest number of participants (n = 446; 42.8%).283

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	Baseline cha	racteristics* of par	rticipants by birth c	ohorts						
		Birth Cohorts								
Variables	All	1916–1928 World War I, Spanish influenza, pre-Great	1929–1938 Great Depression	1939–1945 World War II	1946–1962 Post-World War II, Baby boom	р				
	1.041 (100%)	Depression	116 (12 90)	20((10.9%)	1(0)(15)(6)					
n (%)	1,041 (100%)	227 (21.8%)	446 (42.8%)	206 (19.8%)	162 (15.6%)	****				
Follow-up time (y)	4.6 (3.2)	4.8 (3.3)	5.0 (3.4)	4.3 (2.8)	3.3 (2.4)	****				
Age (y)	/3.6 (6.9)	82.0 (3.1)	/4.9 (3.6)	69.3 (4.1)	64.1(4.3)	****				
Year of birth	1936 (8.7)	1925 (2.9)	1934 (2.7)	1942 (2.0)	1950 (3.4)	ale ale ale ale				
Sex (women)	4/4 (45.5%)	83 (36.6%)	192 (43.0%)	108 (52.4%)	91 (56.2%)	****				
Mini-Mental State Examination	28.1 (1.8)	27.7 (1.9)	28.1 (1.8)	28.4 (1.6)	28.6 (1.6)	****				
Diagnostic	115 (10 50)	04 (07.0%)	000 (15 50)	00 (10 0 %)	75 (46 200)	0.122				
Normal cognition	445 (42.7%)	84 (37.0%)	203 (45.5%)	83 (40.3%)	75 (46.3%)					
Mild cognitive impairment	596 (57.3%)	143 (63.0%)	243 (54.5%)	123 (59.7%)	87 (53.7%)	0 000				
Cognitive reserve score	3.6 (1.9)	3.5 (1.9)	3.6 (1.8)	3.4 (1.9)	4.0 (1.8)	0.009				
Education (y)	16.2 (2.8)	16.0 (3.1)	16.2 (2.7)	16.0 (2.7)	16.7 (2.5)	0.034				
0: High school and lower, ≤ 12	137 (13.2%)	33 (14.5%)	57 (12.8%)	33 (16.0%)	14 (8.6%)					
1: College and undergraduate, 13–16	447 (42.9%)	100 (44.1%)	195 (43.7%)	86 (41.7%)	66 (40.7%)					
2: Graduate and higher, ≥ 17	457 (43.9%)	94 (41.4%)	194 (43.5%)	87 (42.2%)	82 (50.6%)	0.004				
Verbal IQ (estimate)	118.2 (9.3)	118.3 (9.5)	117.8 (9.4)	117.7 (9.1)	119.9 (8.6)	0.091				
0: Average, ≤ 115	350 (33.6%)	77 (33.9%)	158 (35.4%)	/3 (35.4%)	42 (25.9%)					
1: Above average, 116–123	374 (35.9%)	78 (34.4%)	159 (35.7%)	77 (37.4%)	60 (37.0%)					
2: High above average, ≥ 124	317 (30.5%)	72 (31.7%)	129 (28.9%)	56 (27.2%)	60 (37.0%)					
Complexity of occupation (ISCO-08)						0.002				
0: Skill levels 1-2, groups 4–10	265 (25.5%)	64 (28.2%)	105 (23.5%)	65 (31.6%)	31 (19.1%)					
1: Skill level 3, group 3	148 (14.2%)	36 (15.9%)	57 (12.8%)	35 (17.0%)	20 (12.3%)					
2: Skill level 4, groups 1-2	628 (60.3%)	127 (55.9%)	284 (63.7%)	106 (51.5%)	111 (68.5%)					
Vascular index [†]	-1.3(0.9)	-1.4(0.8)	-1.3 (0.9)	-1.2(0.9)	-1.4 (1.0)	0.133				
Hypertension	679 (65.2%)	157 (69.2%)	303 (67.9%)	124 (60.2%)	95 (58.6%)	0.032				
Obesity	202 (19.4%)	40 (17.6%)	75 (16.8%)	42 (20.4%)	45 (27.8%)	0.021				
Type 2 diabetes [‡]	70 (6.7%)	22 (9.7%)	33 (7.4%)	8 (3.9%)	7 (4.3%)	0.412				
Ever smoked [§]	372 (35.7%)	91 (40.1%)	176 (39.5%)	65 (31.6%)	40 (24.7%)	0.803				
Structural brain measures (Z score)										
Total brain volume	0.0 (1.0)	-0.7 (0.8)	-0.2 (0.9)	0.5 (0.9)	0.9 (0.8)	****				
Total WMH volume	0.0 (1.0)	0.6 (1.1)	0.1 (1.0)	-0.3 (0.8)	-0.6 (0.6)	****				
Cognitive performances (Z score)										
Verbal episodic memory	0.0 (0.8)	-0.2 (0.7)	-0.0 (0.8)	0.2 (0.8)	0.3 (0.8)	****				
Language and semantic memory	0.0 (0.7)	-0.2 (0.7)	-0.0 (0.7)	0.1 (0.6)	0.4 (0.5)	****				
Attention capacities	0.1 (0.8)	-0.3 (0.8)	0.1 (0.7)	0.3 (0.7)	0.4 (0.7)	****				
Executive functions	0.1 (1.0)	-0.0 (1.0)	0.1 (1.0)	0.1 (1.0)	0.3 (0.9)	0.004				

 Table 2

 Baseline characteristics* of participants by birth cohorts

****p < 0.0001. Of the 1,041 participants, 541 were from ADNI1, 29 from ADNIGO, 352 from ADNI2, and 119 from ADNI3. *Values shown are mean (standard deviation) or number (percentage). [†]215 missing values. [‡]215 missing values. [§]119 missing values. [¶]Negative Z scores mean lower WMH burden. IQ, intellectual quotient; ISCO-08, International Standard Classification of Occupations 2008; WMH, white matter hyperintensities.

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A large proportion of participants achieved a high educational level (43.9% had \geq 17 years), had verbal IQ estimates well above average (30.5% had estimates \geq 124), and held more complex jobs (60.3% classified in the ISCO-08's groups 1 and 2; Supplementary Table 1). The average follow-up time was 4.6 years (range 0.5–14.0), accounting for 6230 observations across all follow-ups. The 1929–1938 cohort showed the highest average follow-up time (M = 5.0 years), followed by the 1916–1928 cohort (M = 4.8 years).

Cognitive performance

Time (p < 0.0001), except for executive functions, and higher CR (p < 0.0001) were respectively associated with worse and better performances for all cognitive domains (Table 3; see Supplementary Table 2 for effect sizes). Higher age at baseline (p = 0.004) was associated with better performance only in verbal episodic memory. Healthier brains at baseline were related to better cognitive performances as indicated by total

	Verbal episodic memory (z)				Language and semantic memory (z)			Attention capacities (z)				Executive functions (z)				
Predictors	B (SE)	Std. B	95% CI	р	B (SE)	Std. B	95% CI	р	B (SE)	Std. B	95% CI	р	B (SE)	Std. B	95% CI	р
(Intercept)	-1.97 (0.57)	-0.39 (0.09)	-3.08-0.86	***	-0.50 (0.42)	-0.34 (0.09)	-1.32-0.32	0.231	0.32 (0.47)	-0.33 (0.09)	-0.60-1.25	0.495	-0.86 (0.53)	-0.12 (0.07)	-1.91-0.19	0.108
Time (years since baseline)	-0.59 (0.11)	-0.36 (0.04)	-0.82-0.37	****	-0.54 (0.13)	-0.36 (0.06)	-0.79-0.29	****	-0.82 (0.14)	-0.43 (0.06)	-1.10-0.54	****	-0.19 (0.13)	-0.15 (0.04)	-0.44-0.05	0.124
Age at baseline	0.02 (0.01)	0.24 (0.06)	0.01-0.03	0.004	0.00 (0.01)	0.15 (0.06)	-0.01-0.01	0.680	-0.01 (0.01)	0.13 (0.06)	-0.02-0.01	0.298	0.01 (0.01)	0.07 (0.04)	-0.01-0.02	0.301
CR*	0.12 (0.01)	0.25 (0.03)	0.09-0.14	****	0.10 (0.01)	0.25 (0.03)	0.08-0.12	****	0.06 (0.01)	0.15 (0.03)	0.04-0.08	****	0.09 (0.01)	0.20 (0.02)	0.07-0.12	****
Birth cohorts [†]																
1929–1938	0.15 (0.08)	0.23 (0.10)	-0.01-0.30	0.068	0.03 (0.06)	0.16 (0.10)	-0.08-0.15	0.573	0.07 (0.07)	0.20 (0.10)	-0.06-0.20	0.264	0.06 (0.07)	0.10 (0.07)	-0.08-0.21	0.410
1939–1945	0.35 (0.12)	0.54 (0.14)	0.13-0.58	0.002	0.19 (0.09)	0.47 (0.14)	0.02-0.35	0.030	0.10 (0.10)	0.33 (0.14)	-0.09-0.29	0.297	0.03 (0.11)	0.16 (0.10)	-0.18-0.25	0.758
1946–1962	0.36 (0.15)	0.69 (0.18)	0.07-0.64	0.015	0.27 (0.11)	0.64 (0.18)	0.06-0.49	0.012	0.03 (0.12)	0.39 (0.18)	-0.21-0.27	0.781	0.14 (0.14)	0.21 (0.13)	-0.13-0.42	0.314
Vascular index [‡]	-0.00 (0.03)	-0.02 (0.03)	-0.06-0.05	0.934	0.01 (0.02)	-0.02 (0.03)	-0.03-0.05	0.642	0.03 (0.02)	0.00 (0.03)	-0.02-0.07	0.260	-0.03 (0.03)	-0.02 (0.02)	-0.08-0.02	0.305
Tot. brain volume	0.22 (0.03)	0.33 (0.03)	0.16-0.27	****	0.08 (0.02)	0.23 (0.03)	0.03-0.12	****	0.18 (0.02)	0.38 (0.03)	0.13-0.23	****	0.11 (0.03)	0.12 (0.02)	0.06-0.17	****
Tot. WMH volume	-0.09 (0.03)	-0.13 (0.03)	-0.15-0.04	0.001	-0.04 (0.02)	-0.11 (0.03)	-0.08-0.00	0.066	-0.07 (0.02)	-0.14 (0.03)	-0.110.02	0.003	-0.07 (0.03)	-0.08 (0.02)	-0.12-0.02	0.007
Time \times Age at baseline	0.01 (0.00)	0.11 (0.03)	0.00-0.01	****	0.01 (0.00)	0.14 (0.04)	0.00-0.01	****	0.01 (0.00)	0.19 (0.04)	0.01-0.01	****	0.00 (0.00)	0.03 (0.03)	-0.00-0.00	0.281
$\text{Time}\times \text{CR}$	0.01 (0.00)	0.03 (0.01)	0.00-0.01	0.026	0.00 (0.00)	0.01 (0.02)	-0.00-0.01	0.673	0.00 (0.00)	0.02 (0.02)	-0.00-0.01	0.257	0.00 (0.00)	0.02 (0.01)	-0.00-0.01	0.073
Time × 1929–1938	0.03 (0.02)	0.08 (0.04)	0.00-0.06	0.042	0.03 (0.02)	0.12 (0.06)	0.00-0.07	0.047	0.04 (0.02)	0.13 (0.06)	0.00-0.08	0.035	0.02 (0.02)	0.04 (0.04)	-0.02-0.05	0.329
Time × 1939–1945	0.08 (0.02)	0.21 (0.06)	0.03-0.12	0.001	0.07 (0.03)	0.24 (0.09)	0.02-0.12	0.010	0.07 (0.03)	0.23 (0.09)	0.02-0.13	0.012	0.05 (0.03)	0.13 (0.07)	-0.00-0.10	0.069
Time × 1946–1962	0.13 (0.03)	0.35 (0.08)	0.07-0.19	****	0.08 (0.03)	0.30 (0.13)	0.01-0.15	0.017	0.12 (0.04)	0.37 (0.12)	0.04-0.19	0.002	0.03 (0.03)	0.07 (0.10)	-0.04-0.09	0.460
Time \times Vascular index	-0.01 (0.00)	-0.02 (0.01)	-0.02-0.00	0.094	-0.01 (0.01)	-0.04 (0.02)	-0.02-0.00	0.035	-0.01 (0.01)	-0.02 (0.02)	-0.02-0.00	0.174	-0.00 (0.01)	-0.00 (0.01)	-0.01-0.01	0.999
Time \times Tot. brain volume	0.04 (0.01)	0.12 (0.01)	0.03-0.06	****	0.04 (0.01)	0.14 (0.02)	0.03-0.05	****	0.06 (0.01)	0.18 (0.02)	0.04-0.07	****	0.00 (0.01)	0.00 (0.02)	-0.01-0.01	0.826
Time \times Tot. WMH volume	-0.02 (0.01)	-0.04 (0.01)	-0.03-0.01	0.002	-0.02 (0.01)	-0.07 (0.02)	-0.03-0.01	0.001	-0.02 (0.01)	-0.06 (0.02)	-0.03-0.01	0.001	-0.00 (0.01)	-0.01 (0.02)	-0.01-0.01	0.684
Random effects																
Σ^2	0.09				0.12				0.15				0.64			
τ_{00}	0.58 ParticipantID				0.29 ParticipantID				0.36 ParticipantID				0.32 ParticipantID			
τ_{11}	0.01 ParticipantID.Time			0	.01 ParticipantID.Time				0.02 ParticipantID.Time			0	0.00 ParticipantID.Time			
$ au_{01}$	0.42 ParticipantID				0.30 ParticipantID				0.36 ParticipantID	16			-0.11 ParticipantID			
Marginal R ²	0.225				0.170				0.209				0.071			
Conditional R ²	0.932				0.857				0.864				0.383			

 Table 3

 Linear mixed effects models for variables predicting annual decline in cognitive performance in four domains (N = 1041)

****p < 0.0001.6,230 observations. Intercept, baseline performance for an individual with value zero on all predictors; slope, change over time; Marginal R² describes the proportion of variance explained by only the fixed factors; Conditional R² describes the proportion of the variance explained by both the fixed and random factors. *Cognitive reserve (CR) score at baseline, ranging from 0 (low cognitive reserve) to 6 (high cognitive reserve). [†]1916–1928 is the reference. 1929–1938, 1939–1945 and 1946–1962:1 = born in this cohort, 0 = born in another cohort. [‡]Vascular index at baseline, ranging from 0 (no vascular risk factor burden) to -4 (high vascular risk factors burden). B, beta coefficient; SE, standard error; Std. B, standardized beta coefficient; CI, confidence interval; Tot. brain volume, total brain volume z-score at baseline; Tot. WMH volume, total white matter hyperintensities volume z-score at baseline; σ^2 , residual variance (within-subject variance); τ_{00} , random intercept variance (between-subject variance); τ_{11} , random slope variance; ρ_{01} , random slope-intercept correlation.



Fig. 1. Moderation effects of cognitive reserve on the association between cognitive performances in four domains and time. Note: 95% confidence intervals are shown as shaded areas around the regression lines.

brain volume (all domains, p < 0.0001) and WMH 309 burden (p < 0.003; except for language and seman-310 tic memory). Finally, participants born during the 311 two most recent birth cohorts had better perfor-312 mances in verbal episodic memory (1939-1945 313 [p=0.002], 1946–1962 [p=0.015]), and language 314 and semantic memory (1939-1945 [p=0.030]), 315 1946–1962 [p=0.012]) compared to the earliest 316 cohort (1916-1928). 317

318 Cognitive decline

CR, birth cohorts, age at baseline, and baseline brain health all influenced cognitive decline as shown by their interactions with Time (Table 3; see Supplementary Table 2 for effect sizes). Higher CR (p=0.026) predicted a slower annual decline in 323 verbal episodic memory performances (Fig. 1). Com-324 pared to their earlier born counterparts (1916–1928), 325 participants born during all more recent cohorts had 326 a slower cognitive decline in all domains ($p \le 0.047$; 327 Fig. 2). The birth cohorts' effect on cognitive decline 328 increases as the birth cohort became more recent: 329 Cohort born between 1929-1938, 1939-1945, and 330 1946-1962 had an annual cognitive decline that 331 was respectively 0.04 SD, 0.07 SD, and 0.13 SD 332 slower than the earliest cohort (1916-1928). Cog-333 nitive decline in all domains was slowed when 334 participants were older at baseline (p < 0.0001). A 335 healthier brain structure at baseline (higher total 336 brain volume and lower WMH burden) predicted a 337 slower decline in all cognitive domains (p < 0.0001; 338

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Fig. 2. Moderation effects of birth cohorts on the association between cognitive performances in four domains and time. Note: 95% confidence intervals are shown as shaded areas around the regression lines.

 $p \le 0.002$). A lower vascular index (p = 0.035) predicted a slower decline only in language and semantic memory performances. No variable predicted annual decline in executive functions.

sWe tested the influence of CR on birth 343 cohorts in cognitive decline with the interac-344 tion Time \times CR \times Birth cohorts (0 = 1916–1938, 345 1 = 1939 - 1962), but it did not reach statistical signif-346 icance in any model (Fig. 3). We also did not observe 347 any influence of sex on cognitive decline as indicated 348 by Time \times Sex, Time \times CR \times Sex, and Time \times Birth 349 cohorts × Sex, neither being statistically signifi-350 cant. We further ran the LMMs independently for 351 baseline diagnosis (NC and MCI; Supplementary 352 Table 3) to explore the effects of birth cohorts 353 and CR on longitudinal cognitive decline across 354

diagnostic groups. Briefly, in both NC and MCI models, CR ($p \le 0.006$, p < 0.0001) influenced cognitive performance in all domains, but did not reach significance level on annual decline. Being born in more recent birth cohorts slowed annual decline in verbal episodic memory (1939–1945 in MCI [p = 0.043]and 1946–1962 in NC [p < 0.0001]) and attention capacities (1946–1962 in MCI [p=0.021]). In both groups, a higher baseline total brain volume predicted a slower cognitive decline in all domains $(p \le 0.036;$ except for executive functions), whereas a lower WMH burden predicted a slower decline in verbal episodic memory (p=0.024), and language and semantic memory (p=0.031) only in MCI. The effects of a healthier brain structure on cognitive decline were stronger in participants with MCI com-

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Fig. 3. Moderation effects of cognitive reserve on the association between cognitive performances in four cognitive domains and time across birth cohorts. Note: 95% confidence intervals are shown as shaded areas around the regression lines. None of the Time \times CR \times Birth cohort (1916–1938, 1939–1962) interactions were revealed statistically significant in the regression models.

pared to those with NC at baseline. Finally, a lower vascular index (p = 0.036) predicted a slower decline in language and semantic memory performance only in the MCI group.

375 DISCUSSION

The aim of this study was to assess the relation-376 ships between CR, birth cohorts as defined by major 377 historical events, and cognitive decline. Our results 378 showed that, compared to their earlier-born counter-379 parts (1916–1928), seniors born in more recent birth 380 cohorts exhibited a slowed annual cognitive decline 381 in all domains, except for executive functions, and 382 this effect increased as the birth cohort became more 383 recent. Although higher CR predicted better perfor-384 mance in all cognitive domains, its effect on cognitive 385 decline was observed only for verbal episodic mem-386 ory. Cognitive decline was also reduced in individuals 387 with healthier brain (except for executive functions) 388 and lower vascular burden (only in language and 389

semantic memory) at baseline. In all domains, men and women showed similar cognitive decline.

Cognitive reserve and birth cohort impacts

CR has been widely used in studies to explain heterogeneity in cognitive aging trajectories. By combining proxies of education, occupation complexity, and verbal IQ, our estimate of CR considers a wide range of experiences in both childhood and adulthood that are beneficial to individuals' cognitive functioning. However, we showed that CR fails to fully account for interindividual differences in cognitive decline. Our results suggest that societal changes in the first half of the 20th century, as defined by birth cohorts, have a significant impact on annual cognitive decline. Although secular trends have been reported for CR proxies [22], birth cohorts capture the historical context in which CR proxies are embedded, allowing for a broader and more qualitative assessment of life experiences compared to what a

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quantitative score of CR can encompass. For instance, 409 changes in the educational system have occurred, 410 notably in the difficulty of the educational curricu-411 lum (e.g., a geometry problem saved for advanced 412 secondary students in the 1890s was taught to sev-413 enth graders in 1955 [48]) and in the principal modes 414 of instruction (e.g., shift from drill and rote memo-415 rization to more participatory learning [48]), which 416 goes beyond the assessment captured by the number 417 of years of education. 418

Other factors associated with birth cohort differ-419 ences may explain the difference in cognitive decline. 420 Political and economic changes throughout the first 421 half of the 20th century may have had different 422 implications for cognitive development based on the 423 individual's age during those experiences. Wars, eco-424 nomic crises, and pandemics have led to adverse 425 living conditions (e.g., poor nutrition, lack of health, 426 and social care) that may have had negative impacts 427 on an individuals' brain development in early life. 428 Later-born individuals might have benefited from 429 advances in public health interventions and reduced 430 disease burden, and therefore reach older ages in bet-431 ter general health [14, 49, 50]. 432

433 *Methodological aspects*

Our findings are consistent with existing studies 434 indicating a slower domain-specific cognitive decline 435 favoring seniors born in more recent cohorts (e.g., 436 verbal episodic memory [16, 18, 20]; language and 437 semantic memory [15, 17, 19, 20]; attention capaci-438 ties [19]), but are also inconsistent with other studies 439 showing a steeper decline in these individuals (e.g., 440 attention capacities [16, 25]), or simply no difference 441 between birth cohorts (e.g., language and seman-442 tic memory [24, 25]; attention capacities [24]). The 443 only cognitive domain that did not reveal evidence 444 of birth cohort effects on annual decline was execu-445 tive functions, which is contrary to previous findings 446 both with [17] and without [15, 19] the effect of 447 education. Although these studies mainly assessed 448 executive functions with a phonemic verbal fluency 449 test [15, 17], Dodge et al. [19] used the same test 450 as ours (i.e., TMT part B), but without using the 451 B/A time ratio to reduce the influence of speed and 452 isolate the additional time associated with the task 453 switching cost of part B [51]. Of the previous stud-454 ies assessing language and semantic memory, only 455 one used a task similar to ours (i.e., animals ver-456 bal fluency test [19]), whereas the others mainly 457 used tasks involving vocabulary and lexical knowl-458

edges (i.e., forced-choice matching of a synonym to a target-word [15, 17, 24, 25], verbal reasoning task, and general knowledge task [24]), without adjusting for education [24]. While the latter tasks can provide insight into an individual's crystallized knowledge, they are much less semantically demanding than object naming or categorical verbal fluency tasks. Attention capacities were assessed using a variety of tasks involving visuo-motor (i.e., TMT part A [19]) and visuo-oral processing speed (i.e., adapted Digit Symbol [16, 24], Figure Identification [24, 25]), where the absence of a motor component led to different results from ours. It seems also likely that the use of Swedish [24, 25] or Dutch [16] versions of the cognitive tests for language and attention capacities may have contributed to contrasting results.

Moreover, disparities between these findings could result from the socio-cultural specificities regarding the countries where the studies were carried out (i.e., United States [15, 17-20], Sweden [24, 25], Netherlands [16]). Indeed, these countries have undergone different societal changes, perhaps at different times, over the last hundred years, which may have influenced the cognition of individuals. Thus, birth cohorts vary substantially across studies in terms of their quantity (i.e., from two [15-17, 20, 24] to four birth cohorts [18, 19]), the number of years covered in each cohort (i.e., from one [25] to 37 years [15]), and whether they were formed according to major historical events (e.g., before and after World War II [15]), to recruitment phases [17, 20, 24, 25] or just time (i.e., decade-long [16, 18, 19]).

Strengths and limitations

A major strength of our study is the use of ADNI data. This allowed comparison of older adults born up to 46 years apart over 14 years of follow-up on the same cognitive tests. Added to this are standardized acquisitions that allowed the estimation of brain structure health along with vascular burden across individuals. We also ensured that the influence of the sociocultural environment was well captured by forming birth cohorts based on the major historical events of the first half of the 20th century that had a major impact in North America. Thus, the combined use of multiple cognitive tests achieves a high predictive value for later cognitive decline [52] in addition to capturing intraindividual variability and subtle cognitive changes [53], which diagnostic classes cannot do. Finally, we used a combination of multiple CR

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proxies, as this provides a better representation of theCR than a single proxy [12].

Some limitations must however be addressed. 511 First, the ADNI cohort is primarily composed of 512 highly educated, white North American individuals, 513 which minimizes the generalizability of our results to 514 socially disadvantaged individuals-precisely those 515 individuals with lower CR scores. Second, a selec-516 tion bias may exist because we required participants 517 to have a minimum of two follow-ups to be included 518 in our study. The same applies to a possible survival 519 bias in older participants, who may have been born in 520 earlier cohorts. For instance, this survival bias could 521 be suggested by the finding of a statistically signifi-522 cant association between higher age at baseline and 523 better cognitive performance in verbal episodic mem-524 ory. Therefore, the earlier born cohorts may be more 525 likely to represent a selective group of individuals 526 who are less likely to show a steeper decline in com-527 parisons to the later born cohorts. Finally, an age 528 overlap exists between birth cohorts (Supplementary 529 Figure 1). However, the potential effects of these age 530 differences are minimized by the inclusion of age as 531 a covariate in the LMMs. 532

533 Conclusion

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Studies investigating birth cohort influence on cog-534 nitive decline remain scarce. We provided additional 535 findings that support the relevance of considering the 536 year of birth when examining cognitive decline. Our 537 findings have strong public implications reinforc-538 ing the importance of societal programs that foster 539 opportunities during adulthood to promote cognitive 540 functioning in later life. 541

542 ACKNOWLEDGMENTS

The authors want to thank Elliot Gagner and Kathia Couture for rating main occupational attainments according to the ISCO-08. The authors gratefully acknowledge ADNI participants and staff for providing the data used in this manuscript.

Data collection and sharing for this project was 548 funded by ADNI (National Institutes of Health Grant 549 U01 AG024904) and DOD ADNI (Department of 550 Defense award number W81XWH-12-20012). ADNI 551 is funded by the National Institute on Aging, the 552 National Institute of Biomedical Imaging and Bio-553 engineering, and through generous contributions 554 from the following: AbbVie, Alzheimer's Asso-555 ciation; Alzheimer's Drug Discovery Foundation; 556

Araclon Biotech; BioClinica, Inc.; Biogen; Bristol-Myers Squibb Company; CereSpir, Inc.; Cogstate; Eisai Inc.; Elan Pharmaceuticals, Inc.; Eli Lilly and Company; EuroImmun; F. Hoffmann-La Roche Ltd and its affiliated company Genentech, Inc.; Fujirebio; GE Healthcare; IXICO Ltd.; Janssen Alzheimer Immunotherapy Research & Development, LLC.; Johnson & Johnson Pharmaceutical Research & Development LLC.; Lumosity; Lundbeck; Merck & Co., Inc.; Meso Scale Diagnostics, LLC.; NeuroRx Research; Neurotrack Technologies; Novartis Pharmaceuticals Corporation; Pfizer Inc.; Piramal Imaging; Servier; Takeda Pharmaceutical Company; and Transition Therapeutics. The Canadian Institutes of Health Research is providing funds to support ADNI clinical sites in Canada. Private sector contributions are facilitated by the Foundation for the National Institutes of Health (http://www.fnih.org). The grantee organization is the Northern California Institute for Research and Education, and the study is coordinated by the Alzheimer's Therapeutic Research Institute at the University of Southern California. ADNI data are disseminated by the Laboratory for Neuro Imaging at the University of Southern California.

FUNDING

This study was supported by a Doctoral Award from the Alzheimer Society of Canada Research Program in Biomedical Stream (#20-09 to V.T.) and by a grant from the Canadian Institutes of Health Research (#IC119923 to S.D. for support of O.P.).

CONFLICT OF INTEREST

The authors have no conflict of interest to report.

DATA AVAILABILITY

Thedata supporting the findings of this study are openly available in ADNI database at https://adni. loni.usc.edu/ upon request: https://adni.loni.usc.edu/ wp-content/uploads/how_to_apply/ADNI_Data_Use_ Agreement.pdf.

SUPPLEMENTARY MATERIAL

available The supplementary material is 597 the electronic this article: in version of 598 https://dx.doi.org/10.3233/JAD-220951. 599

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